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| TRANSMITTAL  |   |   | ;                 | Filing Date        |                    | Ma                                      | rch 27, 2006  |
| FORM   |   | First Named Inventor  |                   | Yu                 | ntao Wu            |   |   |
| (to be us  | sed for all correspondence after              | r initial :   | filina)           | Art Unit           |                    | N/A                                     | 4   |
| ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,                                  | ,   |   |                   | Examiner N         | ame                | No                                      | t Yet Assigned  |
| Total Numbe  | er of Pages in This Submiss                   | sion  |                   | Attorney Do        | cket Numb          | er 59                                   | 582(47992)  |
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| Fee Trans  | mittal Form                                   |   | Drawing(s)        |                    |                    |   | ter Allowance Communication   |
| Fee  | Attached                                      |   | Licensing-rel     | ated Papers        |                    | ☐ Ap                                    | ppeal Communication to Board of ppeals and Interferences  |
| Amendme  | nt/Reply                                      |   | Petition          |                    |                    | ∏ Ap                                    | ppeal Communication to TC ppeal Notice, Brief, Reply Brief)   |
| Afte   | r Final                                       | Petition to Convert to a Provisional Application                  |                   |                    | Pr                 | oprietary Information                   |   |
| Affid  | lavits/declaration(s)                         | Power of Attorney, Revocation<br>Change of Correspondence Address |                   |                    | St                 | atus Letter                             |   |
| Extension  | of Time Request                               | Terminal Disclaimer   |                   |                    | x Ot               | her Enclosure(s) (please entify below): |   |
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| x Information  | n Disclosure Statement                        | CD, Number of CD(s)   |                   | Retur              | n Receipt Postcard |   |   |
| Certified Concument  | Copy of Priority<br>(s)                       | Landscape Table on CD   |                   |                    |                    |   |   |
|  | fissing Parts/<br>e Application               | Remarks   |                   |                    |                    |   |   |
|  | ly to Missing Parts under<br>CFR 1.52 or 1.53 |   |                   |                    |                    |   |   |
|  | CFR 1.52 OF 1.53                              |   |                   |                    |                    |   |   |
|  |   |   |                   |                    |                    |   |   |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT                               |   |   |                   |                    |                    |   |   |
| Firm Name EDWARDS ANGELL PALMER & DODGE LLP                              |   |   |                   |                    |                    |   |   |
| Signature Ch   |   |   |                   |                    |                    |   |   |
| Printed name   | Printed name Christine C. O'Day               |   |                   |                    |                    |   |   |
| Date   | June 20, 2006                                 |   |                   |                    | Reg. No.           | 38,25                                   | 56  |
|  |   |   |                   |                    |                    |   |   |

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Application No. (if known): 10/574,031

Attorney Docket No.: 59582(47992)

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IDS (Citation) by Applicant (4 References) (1 page) Copy of International Search Report dated May 18, 2006 for priority international application no. PCT/US04/31967



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Dated: June 20, 2006

(Elisabeth Dunkle)

Docket No.: 59582(47992)

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Yuntao Wu et al.

Application No.: 10/574,031

Confirmation No.:

Filed: March 27, 2006

Art Unit: N/A

For:

HIV-DEPENDENT EXPRESSION

CONSTRUCTS AND USES THEREFOR

Examiner: Not Yet Assigned

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR 1.97, Applicant(s) hereby make of record the following additional documents. A PTO Form SB/08 and a full copy of each of the documents required under 37 CFR 1.98(a)(2) accompany this statement.

Applicant(s) have become aware of the following documents, cited in an International Search Report issued May 18, 2006, during the prosecution of international application no. PCT/US04/31967, which corresponds to the above referenced application, and in accordance with 37 CFR 1.97(c) and (e)(1) or (b)(3), hereby submit(s) these documents for the Examiner's consideration. These documents are cited on the enclosed PTO Form SB/08, and a copy of the International Search Report and of each document required under 37 CFR 1.98(a)(2) cited thereon are enclosed as well.

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any document herein be

Application No.: 10/574,031 2 Docket No.: 59582(47992)

construed *per* se as a representation that such document is prior art. Moreover, Applicant(s) understand(s) the Examiner will make an independent evaluation of the cited documents.

This Information Disclosure Statement is being filed before issuance of a first Office Action.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1105, under Order No. 59582(47992). A duplicate copy of this paper is enclosed.

Dated: June 20, 2006

Respectfully submitted,

Christine C. O'Day

Registration No.: 38,256

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PTO/SB/08a/b (07-05)

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Complete if Known Substitute for form 1449A/B/PTO Application Number 10/574,031 INFORMATION DISCLOSURE March 27, 2006 Filing Date STATEMENT BY APPLICANT First Named Inventor Yuntao Wu Art Unit N/A (Use as many sheets as necessary) **Examiner Name** Not Yet Assigned 59582(47992) Sheet of Attomey Docket Number

|                       | U.S. PATENT DOCUMENTS |  |                                |  |   |  |
|-----------------------|-----------------------|--|--------------------------------|--|---|--|
| Examiner<br>Initials* | Cite<br>No.1          | Document Number  Number-Kind Code <sup>2</sup> ( if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|                       | AA                    | US-6,090,783   | 07-18-2000                     |  |   |  |
|                       | AB                    | US-6,136,597   | 10-24-2000                     |  |   |  |
|                       | AC                    | US-6,323,019 B1  | 11-27-2001                     |  |   |  |

| FOREIGN PATENT DOCUMENTS |                          |   |                                   |  |   |  |  |
|--------------------------|--------------------------|---|-----------------------------------|--|---|--|--|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (# known) | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear |  |  |
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| NON PATENT LITERATURE DOCUMENTS |                          |   |                |  |
|---------------------------------|--------------------------|---|----------------|--|
| Examiner<br>Initials            | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |  |
|                                 | CA                       | J. D'Costa et al., "Human immunodeficiency virus type 2 vectors: packaging signal and splice donor in expression and encapsidation", <i>Journal of General Biology</i> , Vol. 82, pp. 425-434 (2001)  |                |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| Examiner Date Signature Considered |           |            |  |
|------------------------------------|-----------|------------|--|
| Signature Considered               | Examiner  | Date       |  |
|                                    | Signature | Considered |  |

Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.